**NASA China Funding Restriction  
Principal Investigator (Pi) Questionnaire**

|  |
| --- |
| **The PI must complete this form in order to determine whether the University is compliant with NASA’s China Funding Restriction. OCG cannot move forward with processing the proposal or the award until the PI has completed, signed, and returned this questionnaire to OCG.** |

|  |  |
| --- | --- |
| Proposal Title: |  |
| NASA Award Number: |  |

1. Does this project involve collaboration or coordination with, or participation of a Chinese company (defined as any company incorporated under the laws of China) or the Chinese Government (whether the activity is funded or unfunded)?

No.

Yes. Include the name of the entity, the type of entity (*e.g.,* government, corporation, or other), and the role in the project:

1. Does this project involve collaboration or coordination with or participation of individuals in any way affiliated with a Chinese company or the Chinese Government (whether the activity is funded or unfunded)?

No.

Yes. Include the name(s) of the individual(s), affiliation(s), and role(s) in the project. The name of the entity, the type of entity (*e.g.,* government, corporation, or other), and the role in the project:

1. Does this project involve collaboration or coordination with, or participation of, a student from PRC enrolled at the University of Houston with a scholarship from China?

No.

Yes. Include the name(s) of the individual(s), affiliation(s), and role(s) in the project:

1. Does this project involve collaboration or coordination with, or participation of a scholar who retained his/her affiliation with PRC?

No.

Yes. Include the name(s) of the individual(s), affiliation(s), and role(s) in the project:

**Assurance of Compliance – China Funding Restriction**

**(Deviation Feb. 2012)**

An Assurance of Compliance with The Department of Defense and Full-Year Appropriation Act, Public Law 112-10 Section 1340(a); The Consolidated and Further Continuing Appropriation Act of 2012, Public Law 112-55, Section 539; and future-year appropriations herein after referred to as “the Acts”, whereas:

(1) NASA is restricted from using funds appropriated in the Acts to enter into or fund any grant or cooperative agreement of any kind to participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level and at all sub-recipient levels, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.

(2) Definition: “China or Chinese-owned Company” means the People’s Republic of China, any company owned by the People’s Republic of China, or any company incorporated under the laws of the People’s Republic of China.

(3) The restrictions in the Acts do not apply to commercial items of supply needed to perform a contract, grant or cooperative agreement.

(4) By submission of its proposal, the proposer represents that they are not a Chinese-owned company, and that they will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level or at any sub-recipient level, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.

|  |  |
| --- | --- |
| Principal Investigator: |  |
| Signature: |  |
| Date: |  |
| Email: |  |